



TID MP/CF
PATENT
Attorney Docket 054800-5003-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **Roderic Dale et al.**)
Application No. **10/076,597**) Art Unit: **1635**
Filed: **February 19, 2002**) Examiner: **Not Assigned**
For: **Therapeutic Antisense**)
Phosphodiesterase Inhibitors)

Box Missing Parts

Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE OF MISSING PARTS

1. This paper is filed in response to the Notice of Missing Parts of Nonprovisional Application Filed Under 37 C.F.R. 1.53(b) dated April 29, 2002. A copy of the Notice of Missing Parts is enclosed.
2. Extension of Time: The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136(a) apply. Applicants do not believe an extension of time is required as this response is being filed under the next business day rule on Monday, July 1, 2002 as the due date for responding fell on a weekend (Saturday, June 29, 2002). If Applicants have inadvertently overlooked the need for an extension of time, please consider this a petition therefor.
3. Fee Calculation (37 C.F.R. 1.16):

CLAIMS FOR FEE CALCULATION						
	Remaining		Previously Paid	Extra	Rate	Basic Fee: \$740.00
Total Claims	11	minus	0	0	\$18 each	0.00
Independent Claims	3	minus	0	0	\$84 each	0.00
First presentation of Multiple dependent claim					\$280.00	0.00
					Sub-total =	= 740.00
					Reduction by ½ for filing by a small entity	- 370.00
					Total Fee =	\$370.00

4. Fee Payment: The Commission is hereby authorized to charge **\$435.00** to Deposit Account 50-0310 for payment of the application filing fee (\$370.00) and the missing parts surcharge fee (\$65.00).
5. Except for issue fees payable under 37 C.F.R. 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. 1.16 and 1.17 which may be required, or credit any overpayment to Deposit Account 50-0310.

Attorney Docket 054800-5003-02

Application No. 10/076,597

Page 2

Dated: July 1, 2002

Morgan, Lewis & Bockius LLP

Customer No. 09629

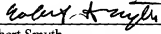
1111 Pennsylvania, N.W.

Washington, D.C. 20004

202-739-3000

Respectfully submitted,

Morgan, Lewis & Bockius LLP

A handwritten signature in dark ink, appearing to read "Robert Smyth", is written over a horizontal line.

Robert Smyth

Registration No. 50,801



UNITED STATES PATENT AND TRADEMARK OFFICE

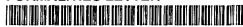
 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/076,597	02/19/2002	Roderic M.K. Dale	054800-5003-02

CONFIRMATION NO. 2708

 009629
 MORGAN LEWIS & BOCKIUS LLP
 1111 PENNSYLVANIA AVENUE NW
 WASHINGTON, DC 20004

FORMALITIES LETTER



OC00000007975186

Date Mailed: 04/29/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

SUMMARY OF FEES DUE:Total additional fee(s) required for this application is **\$435** for a Small Entity

- | | | | | |
|--|------------|--------|----------|----------|
| | 07/03/2002 | MBERME | 00000025 | 10076597 |
| • \$370 Statutory basic filing fee. | 01 | FC:201 | 370.00 | CH |
| • \$65 Late oath or declaration Surcharge. | 02 | FC:205 | 65.00 | CH |

A copy of this notice **MUST** be returned with the reply.

07/03/2002 MBERME 00000025-500310 10076597

01-FC:201 370.00-CH-



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08-17-1999

Atty Docket No. OLIG-003CIP

Form PTO-1595 (Modified)

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark OfficeRE... 101118669
PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Roderic M.K. Dale
Amy Arrow
Terry Thompson

2. Name and address of receiving party(ies):

Name: Oligos Etc. Inc. and Oligo Therapeutics Inc.

Internal Address: Building C6

Street Address: 9775 SW Commerce Circle

City: Wilsonville State: Oregon ZIP: 97070

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:Additional name(s) & address(es) attached? ☐ Yes ☒ No

Execution Date: 07/21/99; 07/27/99; 07/21/99

4. Application numbers(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 07/21/99; 07/27/99; 07/21/99

A. Patent Application No.(s)

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Dianna L. DeVore
Street Address: BOZICEVIC, FIELD, & FRANCIS LLP
285 Hamilton Avenue, Suite 200
Palo Alto, California 943016. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit Account No. 50-0815

The Commissioner is authorized to charge any underpayment or credit any overpayment to Deposit Account No. 50-0815 with regards to this correspondence.

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Dianna L. DeVore, Reg. No. 42,484

Name of Person Signing

Signature

Date

Total number of pages comprising cover sheet 2



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

OCTOBER 15, 1999

PTAS

BOZICEVIC, FIELD & FRANCIS LLP
DIANNA L. DEVORE
285 HAMILTON AVENUE, SUITE 200
PALO ALTO, CALIFORNIA 94301

Designated Field 3.5

OCT 29 1999



101118669A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/29/1999

REEL/FRAME: 010161/0841
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

DALE, RODERIC M.K. ✓

DOC DATE: 07/21/1999

ASSIGNOR:

ARROW, AMY ✓

DOC DATE: 07/27/1999

ASSIGNOR:

THOMPSON, TERRY ✓

DOC DATE: 07/21/1999

ASSIGNEE:

OLIGOS ETC. INC. AND OLIGO
THERAPEUTICS INC.
9775 SW COMMERCE CIRCLE
BUILDING C6
WILSONVILLE, OREGON 97070

SERIAL NUMBER: 09364626 ✓

PATENT NUMBER:

FILING DATE: 07/29/1999 ✓
ISSUE DATE:

DOCKETED
0116-000301P

010161/0841 PAGE 2

MARCUS KIRK, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

OLIG 0002-14

JAN 21 1999



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

JANUARY 21, 2000

PTAS

BOZICEVIC, FIELD & FRANCIS LLP
DIANNA L. DEVORE
285 HAMILTON AVENUE, SUITE 200
PALO ALTO, CA 94301



101185599A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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RECORDATION DATE: 10/25/1999

REEL/FRAME: 010329/0763
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
OLIGO THERAPEUTICS INC.

DOC DATE: 10/11/1999

ASSIGNEE:
✓ OLIGOS ETC. INC.
9775 SW COMMERCE CIRCLE
BUILDING C6
WILSONVILLE, OREGON 97070

SERIAL NUMBER: 09364626
PATENT NUMBER:

✓ FILING DATE: 07/29/1999
ISSUE DATE:

RE 1/31
DOCKETED

ANTIONE ROYALL, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

10-27-1999

Form PTO-1595 (Modified)

RI



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AGENTS ONLY

ET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Oligo Therapeutics Inc.

2. Name and address of receiving party(ies)

Name: Oligos Etc. Inc.

Internal Address: Building C6

Street Address: 9775 SW Commerce Circle

City: Wilsonville State: OR ZIP: 97070

Additional name(s) of conveying party(ies) attached? ___ Yes X No

3. Nature of conveyance:

☒ Assignment ___ Merger
___ Security Agreement ___ Change of Name
___ Other:

Execution Date: 10/11/99

Additional name(s) & address(es) attached? ___ Yes X No

4. Application numbers(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/364,626

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Dianna L. DeVore
Street Address: BOZICEVIC, FIELD, & FRANCIS LLP
285 Hamilton Avenue, Suite 200
Palo Alto, California 94301Telephone: (650) 327-3400
Facsimile: (650) 327-32316. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Dianna L. DeVore, Reg. No. 42,484

Name of Person Signing

Signature

Date

10/26/1999 BNGUYEN 00000203 0935626

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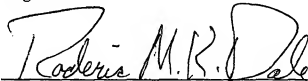
Total number of pages comprising cover sheet 2

CERTIFICATE UNDER 37 C.F.R. 3.73(b)

Oligos Etc. Inc., a corporation located in Wilsonville, Oregon, certifies that it is the assignee of the entire right, title and interest in the patent applications identified above. An assignment from the inventor(s) of the patents and patent applications identified above was recorded as indicated above. To the best of the undersigned's knowledge and belief, title is in the assignee identified above. The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

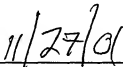
I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001. Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Oligos Etc. Inc.



Roderic M. K. Dale, Ph.D.

Chief Executive Officer



Date